Intelligent Energy Optimization for User Intelligible Goals in Smart Home Environments

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Abstract—Intelligent management of energy consumption is one of the key issues for future energy distribution systems, smart buildings, and consumer appliances. The problem can be tackled both from the point of view of the utility provider, with the intelligence embedded in the smart grid, or from the point of view of the consumer, thanks to suitable local energy management systems (EMS). Conserving energy, however, should respect the user requirements regarding the desired state of the environment, therefore an EMS should constantly and intelligently find the balance between user requirements and energy saving. The paper proposes a solution to this problem, based on explicit high-level modeling of user intentions and automatic control of device states through the solution and optimization of a constrained Boolean satisfiability problem. The proposed approach has been integrated into a smart environment framework, and promising preliminary results are reported.

Index Terms—Building automation, Home automation, Domotic Effects, Electrical power optimization, Energy Management Systems, Energy optimization heuristic

I. INTRODUCTION

In the last decade, intelligence emerged as the basic component to design modern home and building automation systems. The term “intelligence” implies a provision of automated control over the buildings to solve interoperability issues among devices from different vendors, to sense the environment, to provide context-aware services to the residents and to manage safety and security issues. Regardless of how ambitious and diverse the notions might seem, the research community has demonstrated the ability to achieve such goals using pilot projects [1]–[4]. In the past few years, energy efficiency has become a key requirement for designing modern buildings and industries. The approaches in this regard not only rely on improving building structures and adopting more efficient appliances but also aim at increasing user awareness towards their energy usage.

Energy efficiency has become one of the major concerns in today’s life, impacting almost all human activities, from industrial and commercial, to leisure and vacation. According to the statistics from the US Department of Energy and the European Union Energy Commission, global energy consumption is likely to increase in the next decade, with residential and commercial buildings raising their aggregate figure to 20-40% of the total yearly consumption. If only electricity is considered, the consumption share allocated to buildings is supposed to increase up to 73%, evenly distributed between residential and commercial buildings [5].

To cope with increasing energy needs the smart grid is a promising infrastructure [6] which focuses on demand side management. It provides customers an ability to make informed decisions about their energy consumption by adjusting timing and quantity of their electrical usage [7], [8]. This flexibility is enabled by pricing policies for electrical usage over time [9], [10] and/or by dynamic demand scheduling algorithms to optimize energy services in buildings [11], [12].

The smart grid infrastructure requires a two-way communication through which appliances can be monitored and controlled by a control center installed on the premises of the energy provider which may lead to privacy and security issues [13].

A complementary approach to energy management is the local optimization of energy consumption using a locally installed Energy Management System (EMS) on the building premises. Most EMS focus on making the consumer more aware of their electrical power usage and/or providing methods to share this information with energy providers or third party application developers [14]–[17]. The research focuses on different graphical illustrations of data related to consumed energy to ease consumer comprehension [18], [19] and on different tools and methodologies to share this data over the web [14]. All these approaches need active user participation in order to implement energy management strategies.

This paper proposes a more automated approach, where the EMS may automatically act on appliances and control their power consumption, while satisfying user requirements about the current environment state. The presented approach is based on two pillars: the availability of an explicit model for the smart home (such as provided by intelligent home gateways), and the expression of user needs in a more abstract way. The environment should be controlled by “user intelligible goals” that represent the state of the environment perceived by the user, on an abstract level. For example, the user may wish to illuminate a room and this may be done by acting on lamps, curtains and shutters in different ways. Therefore, the user achieves the effect of illuminating the room on an abstract level.

The paper describes a novel approach to optimize the energy usage in a building while achieving user intelligible goals. The main contributions of the paper are: adopting an explicit formal modeling for user goals (based on the Domotic Effects modeling framework); proposing an architecture that is compatible with existing ambient intelligence solutions; describing an algorithm based on Boolean satisfiability (SAT) for computing the optimal solution and integrating the SAT algorithm with a suitable heuristic in order to tackle combinatorial explosion.

The paper is divided into seven sections. A literature overview is first provided in Section II. Section III describes...
the theoretical framework of the paper, i.e., the explicit high-
level modeling of user intention. The problem tackled in the
paper is then formalized in Section IV, while the approach
adopted for optimization is described in Section V. Section VI
shows detailed results of a preliminary experiment. Finally,
Section VII concludes the paper and highlights future work.

II. RELATED WORKS

Hubert et al. [20] outlined that in order to realize the
potential of the smart grid, optimization of energy usage is
required at different consumer levels, i.e., residential, com-
cmercial, industrial. In the domain of EMS, the literature on
optimizing the electrical power usage while achieving user
intelligible goals (in real time) is scarce but several researchers
have addressed the energy optimization issue at different
consumer levels.

Reference [21] advocates the need to build an intelligent
decision support system which takes into account user prefer-
ences and behavior, and then tries to assist the user in reducing
the energy consumption according to a dynamic notion of
price. A model is proposed that learns user preferences and characteristics over time, and provides different alternatives for
efficient energy usage. However, the practical implementation
of such model, i.e., how to integrate it with a home automa-
sion system and its feasibility was not discussed. Moreover,
the model focuses on user’s preferences over devices rather
than on higher level intelligible goal. A similar approach
is proposed in [22]. Dynamic pricing and incentive pricing
policies are adopted and advocated by many in the smart grid
community to optimize the energy usage [9], [23] but the user
perspective is often missing.

Amir-Hamed et al. [24] propose optimization of residential
load control with price prediction in a Real-Time electricity
pricing environment. It minimizes the householder’s electricity
costs by scheduling the operations of each appliance, subject
to special needs of the user. The user perspective is modeled
as a waiting parameter in the scheduling problem, whose cost
increases with time. Therefore, each appliance operation is
scheduled based on price of electricity and the value of the
waiting parameter.

Reference [25] proposed a system model that uses game
theory to design a energy consumption scheduling game
among consumers to address demand side management. It
considers a single energy source and multiple consumers.
The consumers automatically coordinate among each other
to find optimal energy consumption on an hourly basis. The
scheduling problem is modeled over a set of consumers and
could face scalability issues when the number of consumers
increases. This technique also lacks the description of model-
ing consumer requirements.

One potential weakness of all above proposals is that they
focus entirely on minimizing energy consumption and ignore
other environment aspects, especially the user’s perspective.
The Ambient Intelligence (AmI) community has addressed
such aspects in the domain of smart environments. Often
missed is the point that the EMS inside a building will be
part of a larger smart environment system, providing sensing,
actuation and user interaction.

III. USER INTENTION MODELING

To control the environment, an EMS needs to be aware
of the structure of the environment, including a list of de-
vices, their locations, their available commands, and most
importantly their power consumption in their various operating
states. For environment and device modeling the DogOnt [26]
onontology was adopted which is supported by the Dog [3]
automation gateway. Device models include device features,
functionalities, commands and attainable states. In particular,
for each device the set of possible internal working states is
represented, and each state may influence power consumption.
Information about power consumption of devices or device
classes is encoded in a simple light-weight DogPower ontol-
yogy, derived from the Energy Profile ontology proposed in
[14]. The combination of DogOnt and DogPower gives all
needed information about the environment.

User intentions, on the other hand, are modeled thanks to
the “Domotic Effects” framework, presented in this section.
It is an abstract high level modeling approach, which addresses
the concerns from both AmI designer and resident points of
view. Domotic Effects allow them to concentrate on high-
level goals that the environment should accomplish, without
worrying about the underlying device(s) fulfilling the goal.

Domotic Effects provide AmI designers with an abstraction
layer that enables defining generic goals inside the environ-
ment, in a declarative way, and designing and developing
intelligent applications. The high-level nature of Domotic
Effects also allows the residents to program their personal,
office or work spaces as they see fit: they can define different
achievement criteria for a particular generic goal, by using
domain-specific operators.

A. Conceptual Modeling

The Domotic Effects modeling framework is organized in
three tiers (Fig. 1), supporting the needs of designers and
users. The core layer contains the basic class definitions for
expressing Domotic Effects. Each Domotic Effect (DE) is
expressed as a function of existing device states or sensor
values. Such function is expressed using a set of operators
that can be extended or modified by the AmI designer. The
AmI layer encodes the set of operators defined or customized
by the AmI designer, depending on the application domain.
Finally, the Instance layer represents the specific Domotic
Effects being defined in a specific environment.

The choice of operators, and their associated numerical
domains and ranges, are customized depending on the domain
of application requirements. For example, in monitoring and
control applications, Domotic Effects express logical values (in
Boolean algebra), and the AmI designer would define Boolean
operators to construct effects. On the other hand, in energy
management applications, Domotic Effects would represent
power and energy values, and AmI designers would define
real-valued aggregation operators.

A DE can be a Simple Effect (SE) if it depends upon a single
device being in a particular state or a sensor having some
value range; otherwise it is a Complex Effect (CE) and depends
upon combinations of other DEs (both simple and complex). A
modular “DogEffects” ontology provides a formal knowledge base for describing DEs, and is organized in a structure that corresponds to the three tiers modeling framework.

Users can define several domotic effects, based on the operators defined for the environment. At any instant, each DE has a (Boolean or real) value associated with it. For example, a user may describe a Boolean Domotic Effect corresponding to the generic goal of lighting up a room, and this goal may be reached by acting, in different ways, on lamps, curtains, and window shutters in that room, possibly by taking into account external conditions.

B. Domotic Effect Formalization

Given an intelligent ambient managing a set of devices $D$, each device $d$ is associated with a set of allowed states $S(d)$; depending on the nature of the device, states may be discrete (e.g., {On, Off} for a lamp) or continuous (e.g., [0, 100] for a volume knob). During system evolution, the actual state of each device is a time-dependent function $s(d, t) \in S(d)$.

The whole environment possesses a global state space $G$, represented by the Cartesian product of all device state spaces: $G = \prod_{d \in D} S(d)$, thus defining a global environment state $g \in G$.

Formally, a Domotic Effect $DE$ is defined as a function of the global state space: $DE : G \rightarrow V$, where $V$ is an application-dependent value space. For Boolean application domains, $V = \{0, 1\}$. A Simple Effect $SE$ is a function that considers the state of only one device, $SE : S(d) \rightarrow V$; such function is time-dependent since it depends on $s(d, t)$. An operator $op$ is a function $op : V^{N} \rightarrow V$, where $N$ represents the number of operands of the specific $op$. A Complex Effect $CE$ is represented by a couple $< op, (DE_1 \ldots DE_N) >$ composed of an operator name $op$ and a list of Domotic Effects $DE_i$, whose values are used as operands. Such function is also time-dependent.

A set $I$ contains all domotic effects defined for an environment, i.e., $I = \{DE_1, DE_2 \ldots DE_M\}$.

C. Representing Power with Domotic Effects

Each device, in each operating state, consumes some amount of electrical power\(^1\), thus is represented as a real-valued Simple Effect $P(s)$, $P : S(d) \rightarrow \mathbb{R}^+$. The instantaneous power consumed by the whole environment is therefore represented as a Complex Effect $P : G \rightarrow \mathbb{R}^+$ aggregating all individual power measurements:

$$P(g) = \sum_{d \in D} P(s(d))$$

D. Domotic Effect Enforcement

For Boolean valued domotic effects, the user can request the system to enforce particular domotic effects. “Effect Enforcement” addresses the problem of finding at least one configuration $g$ that satisfies the user request and using the automation system to bring the home devices into that satisfying state.

The user request $R$ is defined as a subset of the declared Boolean domotic effects: $R \subseteq I$. In simple terms, the user request $R$ is the subset of $DE_i$ that the user wants to be active (true) at a given instant.

Satisfying user requests amounts to solving the Boolean function $F_R(g)$ defined as:

$$F_R(g) = \prod_{DE \in R} DE$$

To address the problem, the user request is transformed into a Boolean satisfiability problem (SAT). SAT is the problem of determining if the variables of a given Boolean expression can be assigned in such a way as to make the expression evaluate to $true$. Each domotic effect defined in the DogEffects ontology is mapped to a Boolean variable. The functionality of each effect operator defined in the AmI layer is mapped in terms of a Boolean sub-expression in the SAT problem. The Boolean expressions for all complex domotic effects present in the user request $R$ are recursively constructed and conjuncted. The Boolean expressions are solved using a SAT solver, which, if satisfiable gives the values (true or false) of all the variables. As simple domotic effects represent the terminal nodes of the expressions, the values corresponding to their variables give us the device configurations that will satisfy the user request.

IV. Problem Statement

Given the definitions in the previous sections, the goal of the paper is to compute the minimum value of $P(g)$, while satisfying the user request $R$. This correspond to a constrained optimization of $P(g)$ subject to the Boolean constraint $F_R(g)$. In this paper, the basic SAT-based approach for effect enforcement has been extended to find a solution with minimum power consumption. Since the set of possible solutions may be extremely large, a suitable heuristic is proposed to get a satisfactory low-power solution in acceptable CPU times.

Energy management techniques should in fact respond in near-real-time (NRT), by acting on a time scale comparable with user requests and device state change frequencies.

\(^1\)in this paper active instantaneous power is considered, although the modeling approach can be trivially extended to other electrical properties.
Normally, the computational delay should be less than a few seconds.

V. PROPOSED APPROACH

To minimize power $P(g)$ subject to user-requested domotic effects $F_R(g)$, a Domotic Effect Optimizer module is developed (Fig. 2). The Domotic Effect Optimizer receives a user request and transforms it into a SAT problem, that is solved to find valid configuration(s). The number of configurations may be zero or more. If zero, the user request is not satisfiable. Otherwise, a configuration with minimum power consumption needs to be determined.

An exhaustive enumeration approach can be adopted, in which each valid configuration is checked for its total power consumption value $P(g)$ and the one with minimum value is enforced on the environment. However, the enumerated approach becomes computationally expensive and practically infeasible if the number of configurations is too large.

To guarantee near real-time (NRT) execution, the number of configurations returned by the SAT solver is compared with an experimentally-tuned configurable threshold $T_c$ that roughly corresponds to the number of configurations that may be enumerated in one second. If the number of configurations is lower than $T_c$, then exhaustive enumeration is fast enough to achieve NRT responsiveness. Otherwise, a heuristic is applied to guarantee results in NRT, even if the absolute optimum is no longer guaranteed.

The complete approach is highlighted in Fig. 2. At startup, the Domotic Effect Optimizer queries the DogEffects and DogPower ontologies to get all the domotic effects and their associated (device and power) information. The Domotic Effect Optimizer transforms the user request for particular values of domotic effects in to a correct set of Boolean equations and constraints, constructing a SAT problem. Then it feeds the SAT problem to a SAT solver. For our current implementation, the Sat4j [27] solver is used. Based on the set of Boolean equations, the Sat4j solver determines (if possible) the total number of configurations that satisfy the set of Boolean equations.

A. Heuristic

A novel power minimizing heuristic is proposed to determine in near real-time a configuration that consumes minimal electrical power and satisfies the user’s request. Since the heuristic is called only when the solution space is large ($> T_c$), this degree of freedom is exploited by trying to switch off appliances that have the highest electrical power consumption.

Forcing a device to be switched off reduces the size of the solution space, but it might render the SAT problem infeasible. Therefore a greedy approach was adopted which tries to force all the involved devices off, one by one, starting from the highest-consuming SE. Those SE that render the problem infeasible are kept free in the SAT problem. The others are forced off. There is no guarantee that the configuration received after applying the heuristic has the minimum power consumption. There might be cases in which the combination of small power consuming devices in total consumes more than the device with high power consumption, but such conditions are rare and the experiments (Section VI) prove the configuration with minimum power value is usually achieved. Algorithm 1 shows the overall steps taken to find the optimized configuration, and Algorithm 2 details the greedy procedure used to simplify the SAT problem.

**Algorithm 1 Overall approach**

```plaintext
SAT = SAT problem derived from $F_R(g)$
if (solvable(SAT)) then
  if (num_solutions(SAT) > $T_c$) then
    SAT = Heuristic Algorithm (SAT)
  else
    device states = solve (SAT)
  end if
end if
```

**Algorithm 2 Heuristic Algorithm (SAT)**

```plaintext
sorted SE = sort( all SE, decreasing_power )
for all ( SE in sorted SE ) do
  SAT' = SAT \ ( SE=false )
  if (solvable(SAT')) then
    SAT = SAT'
  end if
end for
return SAT
```

VI. EXPERIMENTAL EVALUATION

To prove the validity of the proposed approach and measure the performance of the proposed heuristic, a set of experiments were carried out. The “Domotic Effect” modeling framework was developed and integrated with Dog2.1 [3] as a new Domotic Effect Optimizer bundle running in the Dog OSGi framework.

A complete house environment was simulated, whose domotic structure was modeled as an instance of DogOnt ontology. A new test bundle was developed to test the approach and the proposed heuristic. The house environment contains 1500 user-defined Domotic Effects. These DE correspond to generic goals like securing or illuminating the house.
The experiments have been run on a standard personal computer with a quad-core Intel i5 processor and 4GB of RAM.

A. Use Cases

In the experiments, all possible combinations of six use cases were enforced on the environment one after another. These use cases \( I \) were Secure Home, BathRoom Illumination, Home Illumination, Afternoon Lunch, Isolated Kitchen and Morning Wakeup scenarios. The “Secure Home” use case secures all the exit points of the house, i.e., all exit doors and windows. This use case comprises many DEs providing the ability to secure different rooms of the house. This can be used in case of emergency, theft, robbery or fire etc. The “BathRoom Illumination” combines small use cases that represent alternative ways to illuminate the bathroom. The “Home Illumination” requires that all the rooms of the house are illuminated. Illumination can be either natural or artificial. The “Afternoon Lunch” deals with the daily routine of cooking lunch inside the kitchen. The “Isolated Kitchen” use case represents isolating the kitchen from the rest of the house during cooking hours; this scenario does not consider the energy spent for cooking, since that action is not automated. The “Morning WakeUp” use case maps a typical scenario when a resident wants to perform a sequence of activities after waking up in morning, like illuminating the bedroom, the kitchen and the bathroom, switching off the gas heater inside the bedroom, switching on the kitchen television and the bathroom radio.

Since \(|I| = 6\), there were \(2^6 = 64\) possible user requests, or 63 if the trivial \(R = \emptyset\) is omitted, where no domotic effect is enforced.

Table I shows the total number of configurations and the time taken by the exhaustive enumeration approach to find the total number of configurations, compute their power consumption and determine the configuration with minimum power consumption. The first 6 columns report which use cases are enforced (1) or not (0) by the user. The time is calculated in milliseconds. When the number of configurations were very large, the enumeration was stopped at 100,000.

For the application of the heuristic optimization, the problems that require more that one second to be enumerated were selected. From the analysis of the computation times in Table I, it is evident that these cases can be selected by choosing a threshold value \(T_e\) equal to 150.

From Table I, three types of cases are observed. They are:

1) **Zero Configurations:** It refers to the case when the Sat4j solver cannot find a configuration satisfying the user’s request, which means that the current combination of use cases can not be enforced together.

2) **Below Threshold:** It refers to the cases when the total number of configurations satisfying the user’s request are less than \(T_e\). In such cases, the enumeration approach is sufficient to determine in NRT a configuration with minimum power consumption and enforce it.

3) **Above Threshold:** It refers to the case when the total number of configurations satisfying the user’s request exceeds the configuration threshold \(T_e\). For such cases, the time to determine a configuration with minimum power consumption exceeds the NRT requirements, or is marked as unknown. Unknown refers to cases in which the number of configurations exceed 100,000. The enumeration approach is practically infeasible in such cases and the proposed heuristic must be applied.

B. Results

To demonstrate the applicability and results of the proposed heuristic the Above Threshold cases were focused, only, since the exhaustive enumeration approach is sufficient for the Zero Configuration and Below Threshold cases, that have been dropped from the subsequent tables.

Table II compares the time taken by the exhaustive enumeration approach against the time taken by the heuristic described in Section V-A to determine a configuration with minimal power usage. The **Enumeration Solution Time** column represents the time (in milliseconds) taken by the enumeration approach. The **Heuristic Solution Time** column represents the time (in milliseconds) taken by the heuristic. The **Result** column reports the comparison, i.e., Solved, Good, or Responsive. The case is “Solved” when the heuristic is able to find a configuration with minimal power consumption in NRT while the enumeration approach is infeasible. The “Good” cases mean that the heuristic solution is faster than enumeration, while the “Responsive” label means that the heuristic solution is slower but still well inside NRT.

Table III shows the comparison of the computed power consumption values between the enumeration and the heuristic approaches. The **Enumeration Power Value** column shows the minimum electrical power (Watt), when it can be exhaustively computed. The **Enumeration Est. Power Value** column shows the estimated minimum electrical power (Watt) found after 100,000 iterations; this value is useful only as a comparison, since the involved CPU time is unrealistic. The column **Heuristic Power Value** shows the power value (Watt) of the configuration found by the heuristic. The **Result** column shows our observations, i.e., Better, Poor, or Equal. In the “Better” cases the heuristic was able to find a configuration that consumes less than the configuration found by the enumeration approach. The “Equal” label shows cases in which the heuristic was able to find the configuration that consumes minimum electrical power in a shorter time than the enumeration approach. Only two cases are marked with “Poor”, where the heuristic was not able to find the minimum power, but this happened for infeasible cases, only, where no practical alternative approach is available.

The size of the search space seems also to influence the effectiveness of the heuristic procedure: for example, the first row in Table III puts very few constraints over device states, and the heuristic is usable to find a good solution, while the second row adds some constraints (i.e., Isolated Kitchen), and the narrower search space allows to find a better solution. The same applies to rows 5 and 6.
### Table I

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### C. Discussion

In our experiments, a total of 63 iterations were performed, corresponding to each possible \( R \) defined over an environment with over 1500 declared DEs. Two performance comparisons were measured between the proposed heuristic and the enumeration approach.

- the comparison of time taken by the approaches to compute the best solution to the user’s request (Table II).
- the consumed electrical power by the enforced settings of domotic effects (Table III).

From Table II, it can be seen that our proposed heuristic was able to solve all cases in NRT, even where the total number of configurations made the enumeration approach infeasible. Most cases took around 1 second to be solved by the heuristic. By observing the results, it can be stated that the proposed approach is feasible for integration with intelligent building systems.

Table III compares the power values of the configuration obtained using the enumeration and the heuristic approach. In cases where the total number of configurations were less than 100,000 it can be seen that the proposed heuristic always
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finds the configuration with the absolute minimum electrical power value. On the other hand, the cases in which the number of configurations exceeds 100,000, the heuristic was able to quickly solve all of them, and in most of the cases it was able to find a configuration that consumed less electrical power, compared to an (inapplicable) enumeration approach. Hence the experiments prove the feasibility of the complete approach as well as highlighting the robustness of the proposed optimization heuristic.

VII. CONCLUSION

This paper tackles the minimization of power consumption from the point of view of individual buildings or homes. Smart environments may be equipped with an energy management system that is able to intelligently control the activation of devices and to minimize power accordingly, taking into account the varying requirements of the users. The approach exploits the degrees of freedom that are available when the users express their requirements at a higher level, in a user-intelligible way, rather than directly controlling the state of each device.

The Domotic Effects modeling framework that has been presented effectively enables users to easily express their needs at a higher level, by means of a Boolean formalization of the Domotic Effects enforcement and a SAT problem. The Boolean problem has been extended to minimize power consumption, in near real-time, while satisfying user requirements, and a heuristic algorithm has been proposed to find satisfactory power results while respecting timing constraints.

The extensive results reported on a case study show the feasibility and the robustness of the approach, making it suitable for adoption in smart environments. The proposed approach can be extended to include further constraints like reducing the number of state changes to conserve the life-time of the appliances, or taking into account the energy needed to switch between states (e.g., for mechanically actuated devices).

Currently the work is being done towards a better integration of the approach in the Dog gateway open source distribution, and on devising intuitive user interfaces to monitor and control the environments through the Domotic Effects paradigm.

REFERENCES